



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/560,220

12/09/2005

Yun Kyung Lee

CU-4590 WWP

2686

26530 7590 05/27/2009

LADAS & PARRY LLP  
224 SOUTH MICHIGAN AVENUE  
SUITE 1600  
CHICAGO, IL 60604

EXAMINER

SIMS, JING F

ART UNIT

PAPER NUMBER

2437

MAIL DATE

DELIVERY MODE

05/27/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/560,220	<b>Applicant(s)</b> LEE ET AL.	
	<b>Examiner</b> JING SIMS	<b>Art Unit</b> 2437	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 March 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. The instant application having Application No. 10560220 filed on December 9, 2005, and Request for Continued Examination (RCE) on March 23, 2009, is presented for examination by the examiner.

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 1, 3, 5, 9, and 11 recites the limitation "the add-round-key generation unit" in claim 1 which is on page 2, line 20; in claim 3 which is on page 4, line 3 and so on of the amendment after final. There is insufficient antecedent basis for this limitation in the claim. It appears to examiner it should be "the round key generation unit".

3. Claims 1, 3, 5, 9, and 11 recites the limitation "wherein the end stage of every round indicates that the data in the unit of M/m bits (where m is 2, 3, 4) have been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit" in claim 1 which is on page 3, lines 1-4; in claim 3 which is on page 4, lines 8-11 and so on of the amendment after final. It is clear that "wherein the end stage of every round indicates that the data in the unit of M/m bits (where m is 2, 3, 4) have been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key"; however, it is indefinite that "wherein the end stage of every round indicates that the data in the unit of M/m bits (where m is 2, 3, 4) have been processed in a round key generation in the

Art Unit: 2437

round operation execution unit". "The data" in the claims refers to M-bit input data. Fig. 1 indicates the 128-bit input data does not input in round key generation unit. There are the other set of key named pre-key has been inputted in round key generation unit.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang (US 2002/0131588), in view of Kim (US Patent No.: US 6,246,768 B1).

**As per claim 1**, Yang discloses "A rijndael block encryption apparatus having M-bit input data and N-bit input keys" (page 1, column 2, paragraph 0010, "an apparatus for encrypting/decrypting a real-time input stream". With respect to the limitations of input data and input keys, in Fig. 1 Data\_in [7:0] appears to be the input data and Key\_data [128,192,256] appear to be the inputs keys) "and encrypting the M-bit input data by repeating for a predetermined number of times a round operation" (page 3, column 1, paragraph 0043, with respect to this limitation, Yang discloses "if the block size is 128 bits and a size of the key value is 256 bits, a count of rounds becomes '14'" "if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes '10'") "that includes transforms of shift\_row, substitution, mixcolumn and add-

Art Unit: 2437

round-key" (Fig. 4 discloses "Shifter (Shift\_row)", "Data conversion unit (Byte\_sub)", "Mixer (Mix\_colm)", and "Key mixer (Add\_round\_key))" "the apparatus comprising: a round operation unit including a round operation execution unit for processing the data at least in the transforms of substitution, mixcolumn and add-round-key" (page 3, column 2, paragraph 0048, "Fig. 4 illustrates a detailed block diagram of an encryption unit of 'the block round unit' 203 in Fig. 2". Fig. 4 illustrates the transforms of "Shifter (Shift\_row)", "Data conversion unit (Byte\_sub)", "Mixer (Mix\_colm)", and "Key mixer (Add\_round\_key))" "and a round key generation unit for generating round keys in order to provide the round keys in the transform of the add-round-key; a round operation control unit for controlling the round operation performed by the round operation unit" (page 1, paragraph 0013, "a key schedule unit carrying out a key schedule every round in accordance with a size and a key value of a block inputted from outside so as to output a key value for the encryption or decryption each round") "and a data storage unit for storing M-bit data generated at an end stage of every round" (page 4, column 1, paragraph 0057, "an output buffer 603 receiving the encrypted or decrypted data Out\_block [127:0]"). "Wherein the end stage has been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit" (Fig. 4, page 3, [0052], it describes the 4 operations and indicates the above steps are repeated in accordance with a count of the pre-setup round)

However, Yang fails to disclose "processing the data in the unit of M/m bits (where m is 2, 3 or 4)", and "a data storage unit for storing M/m-bit intermediate data

Art Unit: 2437

generated by the round operation unit at an intermediate stage of every round, wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit".

Kim discloses "processing the data in the unit of M/m bits (where m is 2, 3 or 4)" (col. 1, lines 57-59, encryption means includes means for dividing each of the data blocks into a plurality of data subblocks, each of the subblocks having a same bit length) and "storing M/m-bit intermediate data generated by the round operation unit at an intermediate stage of every round" (Fig. 2, first division unit and second division unit, and col. 3, lines 63-65, a second division each of the first and the second key added data subblocks is further divided into two data subblock. The second division unit must have a storage to store the intermediate data after first division unit), "wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit" (Fig. 2, reference numbers 522, and 524, and col. 3, lines 46-62, in the first key adding circuit, key SK1 adds to divided upper half data X1, at the same time, key SK2 adds to divide lower half data X2 before the end of four processing stages). "The data in the unit of M/m bits (where m is 2, 3, 4) have been processed" (col. 3, lines 43-46, the data block

Art Unit: 2437

of 64-bits from the input unit is divided into two data subblocks, and each has a same bit length of 32 bits).

Yang and Kim are analogous art because they are from the same field of endeavor of data security including data encryption.

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the Rijndael cipher encryption and decryption apparatus as described by Yang and add the feature of dividing input data to allow parallel processing that taught by Kim, because it would provide effectively encrypting and/or decrypting plaintext data (see Kim, col. 1, lines 8-9).

**As per claim 2**, Yang discloses "the apparatus as claimed in claim 1, wherein the data storage unit includes at least one register, and a total summed size of the register is equal to or larger than  $M(2^m-1)/m$  bits" (Fig. 5 and Fig. 6, registers are intermediate storage units, therefore, the Examiner considers unit 500 in Fig. 5 is a storage unit, unit 603 in Fig. 6 is the other storage unit. There are two set of storage units, which includes four individual registers in unit 500 in Fig. 5 of total 508 bits - 127 bit multiplies 4, plus the "out\_buffer" storage in Fig. 6 of 127 bit. The total summed sized of the register is 635 bit. As applicant states in claim 1 "where m is 2, 3, or 4", if m is 2, M the input data in Yang is 127 bit, then  $M(2^m-1)/m$  is  $127(2^2-1)/2$  that equals 190.5 bits. The total summed sized of the registers in Yang of 635 bit is large than the 190.5 bit).

**As per claim 3**, Yang discloses "A rijndael block decryption apparatus having M-bit input data and N-bit input keys" (page 1, column 2, paragraph 0010, "an apparatus

Art Unit: 2437

for encrypting/decrypting a real-time input stream” “by constructing Rijndael algorithm selected as AES algorithm with hardware”. With respect to the limitations of input data and input keys, in Fig. 1 Data\_in [7:0] appears to be the input data and Key\_data [128,192,256] appear to be the inputs keys) “and decrypting the M-bit input data by repeating for a predetermined number of times a round operation” (page 3, column 1, paragraph 0043, with respect to this limitation, Yang discloses “decrypting” by “finding the key for encryption or decryption”. Yang also discloses “if the block size is 128bits and a size of the key value is 256 bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes ‘10’”) “that includes transforms of inverse shift\_row, inverse substitution, add-round-key and inverse mixcolumn” (Fig. 5 discloses transforms of “I\_shift\_row”, “I\_byte\_sub”, “Add\_round\_key”, and “I\_mix\_colm”) “the apparatus comprising: a round operation unit including a round operation execution unit for processing the data at least in the transforms of inverse substitution, add-round-key and inverse mixcolumn” (page 3, column 2, paragraph 0048, “Fig. 4 illustrates a detailed block diagram of an encryption unit of ‘the block round unit’ 203 in Fig. 2”. Fig. 4 illustrates the transforms of “Shifter (Shift\_row)”, “Data conversion unit (Byte\_sub)”, “Mixer (Mix\_colm)”, and “Key mixer (Add\_round\_key)”). Yang also discloses “if the decryption is being carried out, the encryption in Fig. 4 is carried out in reverse. The reverse process is shown in Fig. 5”) “and a round key generation unit for generating round keys in order to provide the round keys in the transform of add-round-key; a round operation control unit for controlling the round operation performed by the round operation unit” (page 1, paragraph 0013, “a key



Art Unit: 2437

schedule unit carrying out a key schedule every round in accordance with a size and a key value of a block inputted from outside so as to output a key value for the encryption or decryption each round”) “and a data storage unit for storing M-bit data generated at an end stage of every round” (the rejection of the corresponding section in claim 1 also applies here in claim 2). “Wherein the end stage has been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit” (Fig. 4, page 3, [0052], it describes the 4 operations and indicates the above steps are repeated in accordance with a count of the pre-setup round)

However, Yang fails to disclose “processing the data in the unit of M/m bits (where m is 2, 3 or 4)”, and “a data storage unit for storing M/m-bit intermediate data generated by the round operation unit at an intermediate stage of every round, wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit”.

Kim discloses “processing the data in the unit of M/m bits (where m is 2, 3 or 4)” (col. 1, lines 57-59, encryption means includes means for dividing each of the data blocks into a plurality of data subblocks, each of the subblocks having a same bit length) and “storing M/m-bit intermediate data generated by the round operation unit at an intermediate stage of every round” (Fig. 2, first division unit and second division unit,

Art Unit: 2437

and col. 3, lines 63-65, , a second division each of the first and the second key added data subblocks is further divided into two data subblock. The second division unit must have a storage to store the intermediate data after first division unit), “wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit” (Fig. 2, reference numbers 522, and 524, and col. 3, lines 46-62, in the first key adding circuit, key SK1 adds to divided upper half data X1, at the same time, key SK2 adds to divide lower half data X2 before the end of four processing stages). “The data in the unit of M/m bits (where m is 2, 3, 4) have been processed” (col. 3, lines 43-46, the data block of 64-bits from the input unit is divided into two data subblocks, and each has a same bit length of 32 bits).

Yang and Kim are analogous art because they are from the same field of endeavor of data security including data encryption.

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the Rijndael cipher encryption and decryption apparatus as described by Yang and add the feature of dividing input data to allow parallel processing that taught by Kim, because it would provide effectively encrypting and/or decrypting plaintext data (see Kim, col. 1, lines 8-9).

**As per claim 4**, Yang discloses “the apparatus as claimed in claim 3, wherein the data storage unit includes at least one register, and a total summed size of the

Art Unit: 2437

register is equal to or larger than  $M(2^m-1)/m$  bits" (Fig. 5 and Fig. 6, registers are intermediate storage units, therefore, there are four registers in Fig. 5 of total 508 bits - 127 bit multiplies 4, plus the "out\_buffer" storage in Fig. 6 of 127 bit. The total summed sized of the register is 635 bit. As applicant states in claim 1 "where m is 2, 3, or 4", if m is 2, M the input data in Yang is 127 bit, then  $M(2^m-1)/m$  is  $127(2^2-1)/2$  that equals 190.5 bits. The total summed sized of the registers in Yang of 635 bit is large than the 190.5 bit).

**As per claim 5**, Yang discloses "A rijndael block encryption apparatus having M-bit input data and N-bit input keys" (page 1, column 2, paragraph 0010, "an apparatus for encrypting/decrypting a real-time input stream" "by constructing Rijndael algorithm selected as AES algorithm with hardware". With respect to the limitations of input data and input keys, in Fig. 1 Data\_in [7:0] appears to be the input data and Key\_data [128,192,256] appear to be the inputs keys) "and encrypting the M-bit input data by repeating for a predetermined number of times a round operation for encryption" (page 3, column 1, paragraph 0043, with respect to this limitation, Yang discloses "if the block size is 128 bits and a size of the key value is 256 bits, a count of rounds becomes '14'" "if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes '10'") "that includes transforms of shift\_row, substitution, mixcolumn and add-round-key" (Fig. 4 discloses "Shifter (Shift\_row)", "Data conversion unit (Byte\_sub)", "Mixer (Mix\_colm)", and "Key mixer (Add\_round\_key)) "or decrypting the M-bit input data by repeating for a predetermined number of times a round operation" (page 3, column 1, paragraph 0043, with respect to this limitation, Yang discloses "decrypting" by

Art Unit: 2437

“finding the key for encryption or decryption”. Yang also discloses “if the block size is 128 bits and a size of the key value is 256 bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes ‘10’”) “for decryption that includes transforms of inverse shift\_row, inverse substitution, add-round-key and inverse mixcolumn” (page 3, column 1, paragraph 0043, with respect to this limitation, Yang discloses “decrypting” by “finding the key for encryption or decryption”. Yang also discloses “if the block size is 128bits and a size of the key value is 256 bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes ‘10’”) “the apparatus comprising: a round operation unit including a round operation execution unit for processing the data at least in the transforms of substitution, mixcolumn and add-round-key in an encryption mode” (Fig. 4, the block round unit serves the same function as round operation unit. It includes at least substitution which in instant application “data conversion unit(byte\_sub)”, mixcolumn which in instant application “mixer”, and add-round-key which in instant application “key mixer”) “and for processing the data at least in the transforms of inverse substitution, add-round-key and inverse mixcolumn in a decryption mode” (Fig. 5, the block round unit includes at least inverse substitution which in instant application “data conversion unit(l\_byte\_sub)”, add-round-key which in instant application “key mixer”, and mixcolumn which in instant application “inverse mixer”) “and a round key generation unit for generating round keys in order to provide the round keys in the transform of add-round-key; a round operation control unit for controlling the round operation performed by the round operation unit” (page 1,

Art Unit: 2437

paragraph 0013, “a key schedule unit carrying out a key schedule every round in accordance with a size and a key value of a block inputted from outside so as to output a key value for the encryption or decryption each round”); “and a data storage unit for storing M-bit data generated at an end stage of every round” (page 4, column 1, paragraph 0057, “an output buffer 603 receiving the encrypted or decrypted data Out\_block[127:0]”). “Wherein the end stage has been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit” (Fig. 4, page 3, [0052], it describes the 4 operations and indicates the above steps are repeated in accordance with a count of the pre-setup round)

However, Yang fails to disclose “processing the data in the unit of M/m bits (where m is 2, 3 or 4)”, and “a data storage unit for storing M/m-bit intermediate data generated by the round operation unit at an intermediate stage of every round, wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit”.

Kim discloses “processing the data in the unit of M/m bits (where m is 2, 3 or 4)” (col. 1, lines 57-59, encryption means includes means for dividing each of the data blocks into a plurality of data subblocks, each of the subblocks having a same bit length) and “storing M/m-bit intermediate data generated by the round operation unit at

Art Unit: 2437

an intermediate stage of every round” (Fig. 2, first division unit and second division unit, and col. 3, lines 63-65, ,a second division each of the first and the second key added data subblocks is further divided into two data subblock. The second division unit must have a storage to store the intermediate data after first division unit), “wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit” (Fig. 2, reference numbers 522, and 524, and col. 3, lines 46-62, in the first key adding circuit, key SK1 adds to divided upper half data X1, at the same time, key SK2 adds to divide lower half data X2 before the end of four processing stages). “The data in the unit of M/m bits (where m is 2, 3, 4) have been processed” (col. 3, lines 43-46, the data block of 64-bits from the input unit is divided into two data subblocks, and each has a same bit length of 32 bits).

Yang and Kim are analogous art because they are from the same field of endeavor of data security including data encryption.

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the Rijndael cipher encryption and decryption apparatus as described by Yang and add the feature of dividing input data to allow parallel processing that taught by Kim, because it would provide effectively encrypting and/or decrypting plaintext data (see Kim, col. 1, lines 8-9).

**As per claim 6**, Yang discloses “the apparatus as claimed in claim 5, wherein the round operation execution unit comprises:” (page 3, paragraph 0048, paragraph 0053, “Fig. 4 illustrates a detailed block diagram of an encryption unit of the block round unit 203 in Fig. 2 and Fig. 5 illustrates a detailed block diagram of a decryption unit 500 of the block round unit in Fig. 2” and “if the decryption is being carried out, the encryption in Fig. 4 is carried out is in reverse”) “a shift/inverse-shift\_row operation means for performing the shift\_row operation and the inverse shift\_row operation of the data;” (Fig. 4, Fig. 5, “shifter(shift\_row)” in Fig. 4 and “Inverse shift(I\_shift\_row)” in Fig. 5) “a substitution/inverse-substitution operation means for performing the substitution operation and the inverse substitution operation of the data” (Fig. 4, Fig. 5, “Data conversion unit (byte\_sub)” in Fig. 4 and “data conversion unit (I\_byte\_sub)” in Fig. 5) “a mixcolumn/inverse-mixcolumn operation means for performing the mixcolumn operation and the inverse mixcolumn operation of the data” (Fig. 4, Fig. 5, “Mixer(Mix\_colm)” in Fig. 4, and “Inverse mixer(I\_mix\_colm)” in Fig. 5) “and an add-round-key operation means for performing the add-round-key operation of the data” (Fig. 4 and Fig. 5, “Key Mixer(Add\_round\_key)” in Fig. 4 and “Key mixer (Add\_round\_key)” in Fig. 5)

**As per claim 7**, Yang discloses “the apparatus as claimed in claim 6, wherein the round operation execution unit farther comprises a plurality of demultiplexing means for controlling a flow of the data among the substitution/inverse-substitution operation means, the mixcolumn/inverse-mixcolumn operation means and the add-round-key operation means so as to perform the round operation for the encryption or the round operation for the decryption according to an input of a mode signal that indicates the

Art Unit: 2437

encryption or decryption mode” (Fig. 1 or Fig. 2, paragraph 0036, "signals inputted to the block round unit 203 include wsel[1:0] informing a size of a key value, Encrypt\_en signal informing whether to be encrypt or decrypt”).

**As per claim 8**, Yang discloses “the apparatus as claimed in any one of claims 5 to 7, wherein the data storage unit includes at least one register, and a total summed size of the register is equal to or larger than  $M(2^m-1)/m$  bits” (Fig. 5 and Fig. 6, registers are intermediate storage units, therefore, there are four registers in Fig. 5 of total 508 bits - 127 bit multiplies 4, plus the “out\_buffer” storage in Fig. 6 of 127 bit. The total summed sized of the register is 635 bit. As applicant states in claim 1 "where m is 2, 3, or 4", if m is 2, M the input data in Yang is 127 bit, then  $M(2^m-1)/m$  is  $127(2^2-1)/2$  that equals 190.5 bits. The total summed sized of the registers in Yang of 635 bit is large than the 190.5 bit).

6. Claims 9-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang (US 2002/0131588), in view of Roussel et al. (US patent, US 6,230,257 B1) (hereinafter Roussel), and further in view of Kim (US Patent No.: US 6,246,768 B1).

**As per claim 9**, Yang discloses “a rijndael block encryption method for receiving M-bit input data and N-bit input keys and performing a round operation of the input data for a predetermined number of times, the method comprising:” (page 1, column 2, paragraph 0010, “an apparatus for encrypting/decrypting a real-time input stream” “by constructing Rijndael algorithm selected as AES algorithm with hardware”. With respect to the limitations of input data and input keys, in Fig. 1 Data\_in [7:0] appears to be the input data and Key\_data [128,192,256] appear to be the inputs keys. On page 3,



Art Unit: 2437

column 1, paragraph 0043, with respect to predetermined number of times, Yang also discloses “if the block size is 128bits and a size of the key value is 256 bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes ‘10’”) “a round operation step of performing a round operation with respect to all m data of M/n bits” (page 3, column 1, paragraph 0043, Yang discloses “if the block size is 128bits and a size of the key value is 256 bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value is 128 bits, a count of rounds becomes ‘10’”. It shows the relation between the number of round for performing round operation and the input data size in Rijndael cipher in the above paragraph; therefore, a round operation stop with respect to input data ‘M’ bit or ‘M/n’ bit (if M/n equals to M. The claim indicates m data belong to M/n, then a round operation stop with respect to all m as well.) “The round operation including sub-steps of a shift\_row transform for performing a shift\_row of the M-bit data from a previous round” (Fig. 2, and Fig. 4, “the block round unit” in Fig. 2 includes a “shifter (Shift\_row)” in Fig. 4 to perform a shift\_row of 128 bits [127:0] input data from previous round and outputting data to next transform) “a substitution transform for performing a substitution data, a mixcolumn transform for performing a mixcolumn of data” (Fig. 4, “Data conversion unit (Byte\_sub)” to perform a substitution data, “Mixer (Mix\_colm)” to perform a mixcolumn data) “and an add-round-key transform for performing an addition of round” (it is a known for one skilled in the art at the invention time that to repeating either one specific or plurality additional transform steps when to perform a round operation in Rijndael block cipher system during the encryption transformation) “and a

Art Unit: 2437

round key generation step of generating the round keys in order to provide the round keys at the sub-step of the add-round-key transform" (Fig. 2, and page 3, paragraph 0039-0047, "the Key schedule unit"[reference number 202 in Fig. 2] "find a key for encrypting or decrypting each round so as to output the found key to the block round unit 203"). "Wherein the end stage has been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit" (Fig. 4, page 3, [0052], it describes the 4 operations and indicates the above steps are repeated in accordance with a count of the pre-setup round).

However, Yang fails to disclose "a shift\_row transform outputting only M/m-bit (where m is 2, 3 and 4) data corresponding to a selection signal to a next step", "a substitution transform performing of the M/m-bit data and mixcolumn transform performing of the M/m-bit data" and "keys having the same size to the M/m-bit data" and "wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit".

Roussel discloses "outputting only M/m-bit (where m is 2, 3 and 4) data corresponding to a selection signal to a next step" (column 7, line 53-63, "execution units 130 and 140 generate output data as two half width data segments". "Two half width data segments" means the width of input data M divided by 2 where m is 2. "Low

Art Unit: 2437

order data is output at an OUTLO terminal. High order data is output one clock cycle later at an OUTHI terminal. The low and high order output data propagate through separate drivers 330 and 340 to the low and high local bypass buses 310 and 320 respectively” serves the function of “selection signal to a next step”). Roussel also discloses “a substitution transform performing of the M/m-bit data and mixcolumn transform performing of the M/m-bit data” and “keys having the same size to the M/m-bit data” ” (these two limitations limit same thing which is to divide the width of the input data to sub sets to reduce the size hardware. With respect to this limitation, Roussel discloses “processing 128-bit instructions using existing 64-bit hardware systems without significant changes to the hardware” (column 12, line 1-9)).

Kim discloses “wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit” (Fig. 2, reference numbers 522, and 524, and col. 3, lines 46-62, in the first key adding circuit, key SK1 adds to divided upper half data X1, at the same time, key SK2 adds to divide lower half data X2 before the end of four processing stages). “The data in the unit of M/m bits (where m is 2, 3, 4) have been processed” (col. 3, lines 43-46, the data block of 64-bits from the input unit is divided into two data subblocks, and each has a same bit length of 32 bits).

Yang, Roussel, and Kim are analogous art because they are from the same field of endeavor of data security including data encryption.

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the Rijndael cipher encryption and decryption with parallel processing feature that taught by Yang in view of Kim, and apply "staggering execution of a single packed data instruction using the same circuit" from Roussel to utilize the existing hardware resource, reduce the size and cost of hardware.

**As per claim 10**, Yang in view of Roussel disclose claim 9 and "wherein the data can be processed through the steps of the shift\_row transform, the substitution transform, the mixcolumn transform and the add-round-key transform, respectively" (see Yang, Fig. 4 discloses Shift (Shift\_row), Data conversion unit (Byte\_sub), Mixer (Mix\_colm), and Key Mixer (Add\_round\_key)) "the data having the size of M/m bits" (see Roussel, column 12, line 1-9, "processing 128-bit instructions using existing 64-bit hardware systems without significant changes to the hardware) and "a plurality of the M/m-bit data can be processed through the plural steps selected among the four steps at the same time according to a predetermined timing" (see Roussel, Fig. 4A and Fig. 4B, Fig. 4A discloses a plurality of the input data "M" with the width of 128 bits is divided by two of the 64 bits data after ports 1-3. Fig. 4B shows that at same time T, plural steps, to be exact two steps, have been processed. Four steps have been processed at time T+1 etc).

**As per claim 11**, Yang discloses "A rijndael block decryption method for receiving M-bit input data and N-bit input keys and performing a round operation of the input data for a predetermined number of times, the method comprising:" (page 1, column 2, paragraph 0010, "an apparatus for encrypting/decrypting a real-time input

Art Unit: 2437

stream” “by constructing Rijndael algorithm selected as AES algorithm with hardware”.

With respect to the limitations of input data and input keys, in Fig. 1 Data\_in [7:0]

appears to be the input data and Key\_data [128,192,256] appear to be the inputs keys.

On page 3, paragraph 0043, with respect to the limitation “predetermined number of

times”, Yang discloses “if the block size is 128bits and a size of the key value is 256

bits, a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key

value is 128 bits, a count of rounds becomes ‘10’”) “A round operation step of

performing a round operation with respect to all m data of M/n bits” (page 3, paragraph

0043, Yang discloses “if the block size is 128bits and a size of the key value is 256 bits,

a count of rounds becomes ‘14’” “if the block size is 128 bits and a size of the key value

is 128 bits, a count of rounds becomes ‘10’. It shows the relation between the number

of round for performing round operation and the input data size in Rijndael cipher in the

above paragraph; therefore, a round operation stop with respect to input data ‘M’ bit or

‘M/n’ bit (if M/n equals to M. The claim indicates m data belong to M/n, then a round

operation stop with respect to all m as well.) “the round operation including sub-steps of

an inverse shift\_row transform for performing an inverse shift\_row of the M-bit data from

a previous round and outputting data” (Fig. 5, “Inverse shifter (I\_shift\_row)to perform an

inverse shift row of 128 bits [127:0] data and outputting to next transform) “an inverse

substitution transform for performing an inverse substitution inverse-shift\_row-

transformed data” (Fig. 5, Data conversion unit (I\_byte\_sub) to perform an inverse

substitute on the output data of Inverse shifter (I\_shift\_row)) “an add-round-key

transform for performing an addition of round keys having the same size to inverse-

Art Unit: 2437

substitution-transformed data, respectively, “ (Fig. 5, Key mixer (Add\_round\_key) to perform a add round key transform. Fig. 5 discloses both Add\_round\_key and I\_byte\_sub have the same size data of 128 bits [127:0]. The decryption transformation order of I-shift\_row, I\_byte\_sub, and Add\_round\_key in Fig. 5 respects to the corresponding encryption order in Fig. 4) “and an inverse mixcolumn transform for performing an inverse mixcolumn add-round-key-transformed data” (Fig. 5, Inverse mixer (I\_mix\_colm) to perform inverse mix column on Add\_round\_key data) “and a round key generation step of generating the round keys in order to provide the round keys at the sub-step of the add-round-key transform”. (Fig. 2, and page 3, paragraph 0039-0047, “the Key schedule unit”[reference number 202 in Fig. 2] “find a key for encrypting or decrypting each round so as to output the found key to the block round unit 203”). “Wherein the end stage has been processed in all of the at least transforms of the substitution, mixcolumn, and add-round key, and a round key generation in the round operation execution unit” (Fig. 4, page 3, [0052], it describes the 4 operations and indicates the above steps are repeated in accordance with a count of the pre-setup round).

However, Yang fails to disclose “an inverse shift\_row transform outputting only M/m-bit (where m is 2, 3 and 4) data corresponding to a selection signal to a next step”, “for performing an inverse substitution of the M/m-bit data” “round keys having the same size to the M/m-bit inverse-substitution-transformed data” and “an inverse mixcolumn of the M/m-bit add-round-key-transformed data”. “Wherein the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round

Art Unit: 2437

operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit before the end stage of every round for the upper M/m input data in the round operation execution unit".

Roussel discloses "outputting only M/m-bit (where m is 2, 3 and 4) data corresponding to a selection signal to a next step" (column 7, line 53-63, "execution units 130 and 140 generate output data as two half width data segments". "Two half width data segments" means the width of input data M divided by 2 where m is 2. "Low order data is output at an OUTLO terminal. High order data is output one clock cycle later at an OUTHI terminal. The low and high order output data propagate through separate drivers 330 and 340 to the low and high local bypass buses 310 and 320 respectively" serves the function of "selection signal to a next step"). Roussel also discloses "for performing an inverse substitution of the M/m-bit data" "round keys having the same size to the M/m-bit inverse-substitution-transformed data" and "an inverse mixcolumn of the M/m-bit add-round-key-transformed data" (the three limitations limit same thing which is to divide the width of the input data to sub sets to reduce the size hardware. With respect to this limitation, Roussel discloses "processing 128-bit instructions using existing 64-bit hardware systems without significant changes to the hardware" (see column 12, line 1-9)).

Kim discloses "the round key generated in the add-round-key generation unit is added to a the round keys generated in the add-round-key generation unit is added to an upper M/m input data in the round operation execution unit while simultaneously begin the processing of a lower M/m input data in the round operation execution unit

Art Unit: 2437

before the end stage of every round for the upper M/m input data in the round operation execution unit" (Fig. 2, reference numbers 522, and 524, and col. 3, lines 46-62, in the first key adding circuit, key SK1 adds to divided upper half data X1, at the same time, key SK2 adds to divide lower half data X2 before the end of four processing stages). "The data in the unit of M/m bits (where m is 2, 3, 4) have been processed" (col. 3, lines 43-46, the data block of 64-bits from the input unit is divided into two data subblocks, and each has a same bit length of 32 bits).

**As per claim 12**, Yang in view of Roussel disclose claim 11, and "wherein the data can be processed through the steps of the inverse shift\_row transform, the inverse substitution transform, the add-round-key transform and the inverse mixcolumn transform, respectively" (see Yang, Fig. 5 discloses transforms of data through "I\_shift\_row", "I\_byte\_sub", "Add\_round\_key", and "I\_mix\_colm"); "the data having the size of M/m bits" (see Roussel, column 12, line 1-9, "processing 128-bit instructions using existing 64-bit hardware systems without significant changes to the hardware) and "a plurality of the M/m-bit data can be processed through the plural steps selected among the four steps at the same time according to a predetermined timing" (see Roussel, Fig. 4A and Fig. 4B, Fig. 4A discloses a plurality of the input data "M" with the width of 128 bits is divided by two of the 64 bits data after ports 1-3. Fig. 4B shows that at same time T, plural steps, to be exact two steps, have been processed. Four steps have been processed at time T+1 etc).



***Examiner Notes***

The texts which are italic with underlined are the amendment after final.

Examiner marks those texts for the convenience to read.

***Conclusion***

The following prior art made of record and not relied upon is cited to establish the level of skill in the applicant's art and those arts considered reasonably pertinent to applicant's disclosure. See **MPEP 707.05(c)**.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JING SIMS whose telephone number is (571)270-7315.

The examiner can normally be reached on 7:30am-5:00pm EST, Mon-Thu.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on (571)272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2437

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jing Sims

/J. S./  
Examiner, Art Unit 2437

/Emmanuel L. Moise/  
Supervisory Patent Examiner, Art Unit 2437